

IV. Quantum error correction & fault-tolerant quantum computing

A key problem that needs to be understood and properly addressed if a large-scale quantum computer is going to become reality is how to deal with unavoidable errors resulting from the coupling to environment.

The coupling of a single qubit to the environment will lead to

$$(177) \quad \begin{array}{l} |0\rangle |E\rangle \\ |1\rangle |E\rangle \end{array} \xrightarrow{U(t)} \begin{array}{l} |1\rangle |E_{01}\rangle \\ |0\rangle |E_{10}\rangle \end{array} \quad \begin{array}{l} \text{spin} \\ \text{flip} \end{array}$$

or

$$(178) \quad \begin{array}{l} |0\rangle |E\rangle \\ |1\rangle |E\rangle \end{array} \xrightarrow{U(t)} \begin{array}{l} |0\rangle |E_{00}\rangle \\ |1\rangle |E_{11}\rangle \end{array} \quad \text{dephasing}$$

In the course of time the environment states become more and more orthogonal, i.e.:

$$(179) \quad |\langle E_{01}(t) | E_{10}(t) \rangle| \xrightarrow{t \rightarrow \infty} 0$$

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$$(180) \quad |\langle E_{00}(t) | E_{11}(t) \rangle| \xrightarrow{t \rightarrow \infty} 0$$

This leads to the evolution of the reduced density matrix of the qubit

$$t=0 \quad |\Psi(t=0)\rangle = [\alpha|0\rangle + \beta|1\rangle] \cdot |E\rangle$$

$$(187) \quad \rho_0 = \begin{pmatrix} |\alpha|^2 & \alpha\beta^* \\ \alpha^*\beta & |\beta|^2 \end{pmatrix} \begin{matrix} \nearrow \text{spin flip} \\ \searrow \text{dephasing} \end{matrix} \begin{pmatrix} |\beta|^2 & \alpha^*\beta \underbrace{\langle E_{01}(t) | E_{10}(t) \rangle}_{\hookrightarrow 0} \\ \underbrace{\alpha\beta^* \langle E_{10}(t) | E_{01}(t) \rangle}_{\hookrightarrow 0} & |\alpha|^2 \end{pmatrix}$$

$$\begin{pmatrix} |\alpha|^2 & \alpha\beta^* \underbrace{\langle E_{00}(t) | E_{11}(t) \rangle}_{\hookrightarrow 0} \\ \underbrace{\alpha^*\beta \langle E_{11}(t) | E_{00}(t) \rangle}_{\hookrightarrow 0} & |\beta|^2 \end{pmatrix}$$

In both cases the off-diagonal terms decay
"decoherence"

In general
 (182)

$$(\alpha|0\rangle + \beta|1\rangle) |E\rangle \rightarrow \begin{aligned} & (\alpha|0\rangle + \beta|1\rangle) |E_0\rangle \\ & + (\alpha|1\rangle + \beta|0\rangle) |E_x\rangle \\ & + (\alpha|0\rangle - \beta|1\rangle) |E_z\rangle \\ & + (-\alpha|1\rangle + \beta|0\rangle) |E_y\rangle \end{aligned}$$

$$= (\hat{I}|\psi_0\rangle)|E_0\rangle + (\hat{X}|\psi_0\rangle)|E_x\rangle + (\hat{Y}|\psi_0\rangle)|E_y\rangle + (\hat{Z}|\psi_0\rangle)|E_z\rangle$$

where

$$(183) \quad \hat{X} = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad \hat{Y} = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \quad \hat{Z} = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

spin flip both dephasing

$$(184) \quad \hat{Y} = \hat{Z} \hat{X}$$

For a single qubit there are 3 different errors. In addition to the spin flip $\hat{X}$ corresponding to a classical bit flip error there is dephasing $\hat{Z}$ and the combination $\hat{Y} = \hat{Z} \hat{X}$

For classical computing a well consolidated theory building of error correction has been established. Many ideas carry over to the quantum case with some modifications

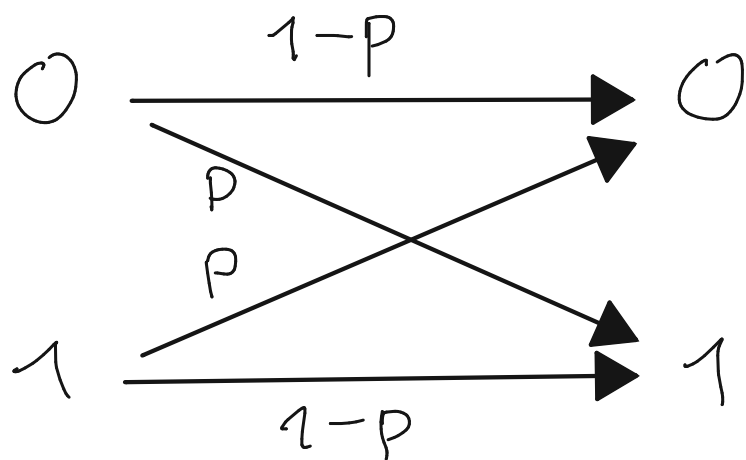
- (i) qubits have more than spin-flip errors
- (ii) state of qubit should not be measured or cloned in error correction procedure
- (iii) there is a new class of codes, known as degenerate codes, which do not exist in classical systems

4.1 Some elements of classical error correction

basic idea: encode bit into larger code

(185) 0 $\longrightarrow$ 000
 1 $\longrightarrow$ 111 3 bit repetition code

if a bit flip error occurs, e.g. in a binary symmetric channel



$0 \leq p \leq 1$ error probability.

• probability of error w/o coding: p

• probability of single error in code (185): $3p(1-p)$

000 $\xrightarrow{1 \text{ error}}$ 100 010 001 $\longrightarrow$ 000
 111 $\longrightarrow$ 011 101 110 majority vote 111
 correctable!

• probability of two or more errors in code (185)

$$P_{\text{error}} = 3p^2(1-p) + p^3 < p \quad \text{if } p < \frac{1}{2}$$

(A) Linear binary codes

binary code k bits encoded in $n > k$ bits

number of code words 2^k
 total number of words 2^n

linear, binary code

set C of code words which form a Galois field (endlicher Körper) with respect to bitwise addition modulo 2

• example: $C : \underbrace{\{(0,1,1), (1,0,1), (1,1,0), (0,0,0)\}}_{=4=2^2}$

$$(0,1,1) \oplus (0,1,1) = (0,0,0)$$

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$$n = 3$$

$$k = 2$$

basis in C

$\{\underline{u}_1, \underline{u}_2, \dots, \underline{u}_k\}$

$\exists$ k basis vectors ; u_j code words
 consisting of $n \geq k$ bits

Every code word can be written as linear combination (mod 2) with prefactors

$$(185) \quad \alpha_j \in (0,1) \quad \underline{v} = \bigoplus_{j=1}^k \alpha_j \underline{v}_j$$

► $\underline{v}$ encodes a message $(\alpha_1, \alpha_2, \dots, \alpha_k)$ of k bits.

The basis vectors form a $k \times n$ generating matrix

$$(186) \quad \underline{\underline{G}} = \begin{bmatrix} \dots & \underline{v}_1 & \dots \\ \dots & \underline{v}_2 & \dots \\ \dots & \underline{v}_k & \dots \end{bmatrix} \left. \vphantom{\begin{bmatrix} \dots & \underline{v}_1 & \dots \\ \dots & \underline{v}_2 & \dots \\ \dots & \underline{v}_k & \dots \end{bmatrix}} \right\}^k$$

$\underbrace{\hspace{10em}}_n$

In the above example two basis vectors are e.g. $(0,1,1)$ and $(1,0,1)$. Thus

$$(187) \quad \underline{\underline{G}} = \begin{pmatrix} 0 & 1 & 1 \\ 1 & 0 & 1 \end{pmatrix}$$

With $\underline{\underline{G}}$ every codeword $\underline{v}$ can be written as

$$(188) \quad \underline{v} = \underline{\alpha} \underline{\underline{G}} \quad \underline{\alpha} = (\alpha_1, \alpha_2, \dots, \alpha_k)$$

alternative characteristics: parity-check matrix

$$(189) \quad \underline{H} \underline{v}^T = 0 \quad n-k \text{ conditions on all codewords}$$

H is $(n-k) \times n$ matrix. Its rows are the $(n-k)$ linear independent vectors which are orthogonal to all code-words. In the example above (eq. 187, 188)

$$(190) \quad \underline{H} = (1, 1, 1)$$

proof: $(0, 1, 1) \cdot (1, 1, 1) = 0 \oplus 1 \oplus 1 = 0 \pmod{2}$
 $(1, 0, 1) \cdot (1, 1, 1) = 1 \oplus 0 \oplus 1 = 0 \pmod{2}$

The same holds for all linear combination of basis vectors and thus for all codewords.

In a classical channel the only error is a bit-flip.

(B) Error detection and correction

Bit flip error

$$(191) \quad \underline{v} \longrightarrow \underline{v} \oplus \underline{e}$$

and $\underline{e} = (0, 1, 0)$ etc. with a "1" at the position of the error.

error detection

In a linear, binary code errors can be detected by application of the parity-check matrix

$$(192) \quad \underline{H}(\underline{v} \oplus \underline{e}) = \underline{H}\underline{v} \oplus \underline{H}\underline{e} = \underline{H}\underline{e} \quad \leftarrow \text{error syndrome}$$

The set $\mathcal{E}$ of all errors $\{\underline{e}_j\}$ which have different error syndromes can be detected and corrected

error correction

$$(193) \quad \underbrace{(\underline{v} \oplus \underline{e}_j)}_{\text{codeword with error}} \oplus \underline{e}_j = \underline{v}$$

If however $\underline{H}\underline{e}_1 = \underline{H}\underline{e}_2$ this does not work as

$$(\underline{v} \oplus \underline{e}_1) \oplus \underline{e}_2 \neq \underline{v}$$

$\Rightarrow$ What is the maximum number of errors correctable in a linear code?

(c) Hamming distance

The Hamming distance of a linear, binary code is the minimum number of bits to be flipped to transfer one element of the code into another one

A linear code with Hamming distance $d = 2t + 1$ can correct up to t bit flips

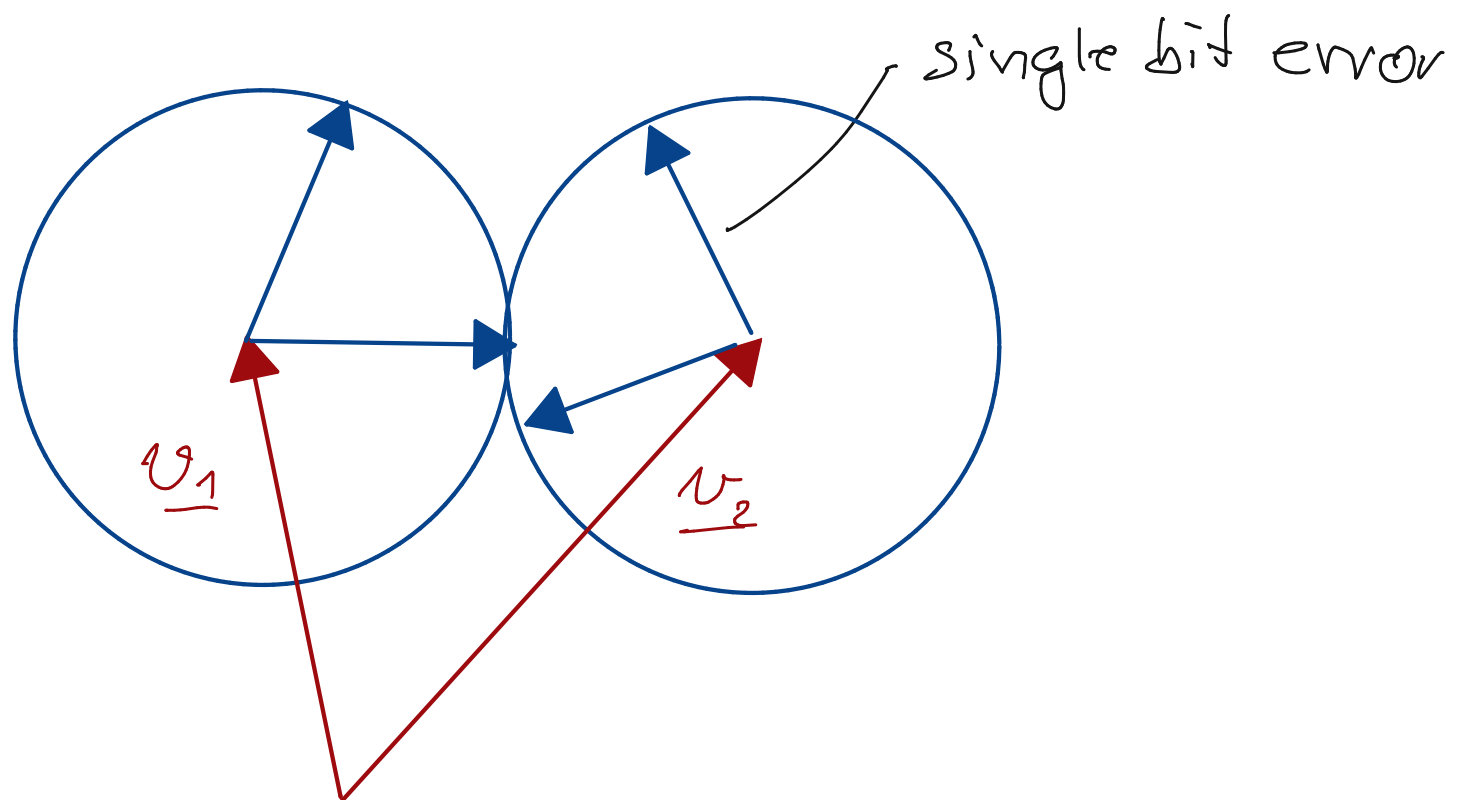
The code used in the above example has $d = 2$ and thus is useless for error correction

$$\underline{v}_1 = (0, 1, 1) \quad \underline{v}_2 = (1, 0, 1)$$

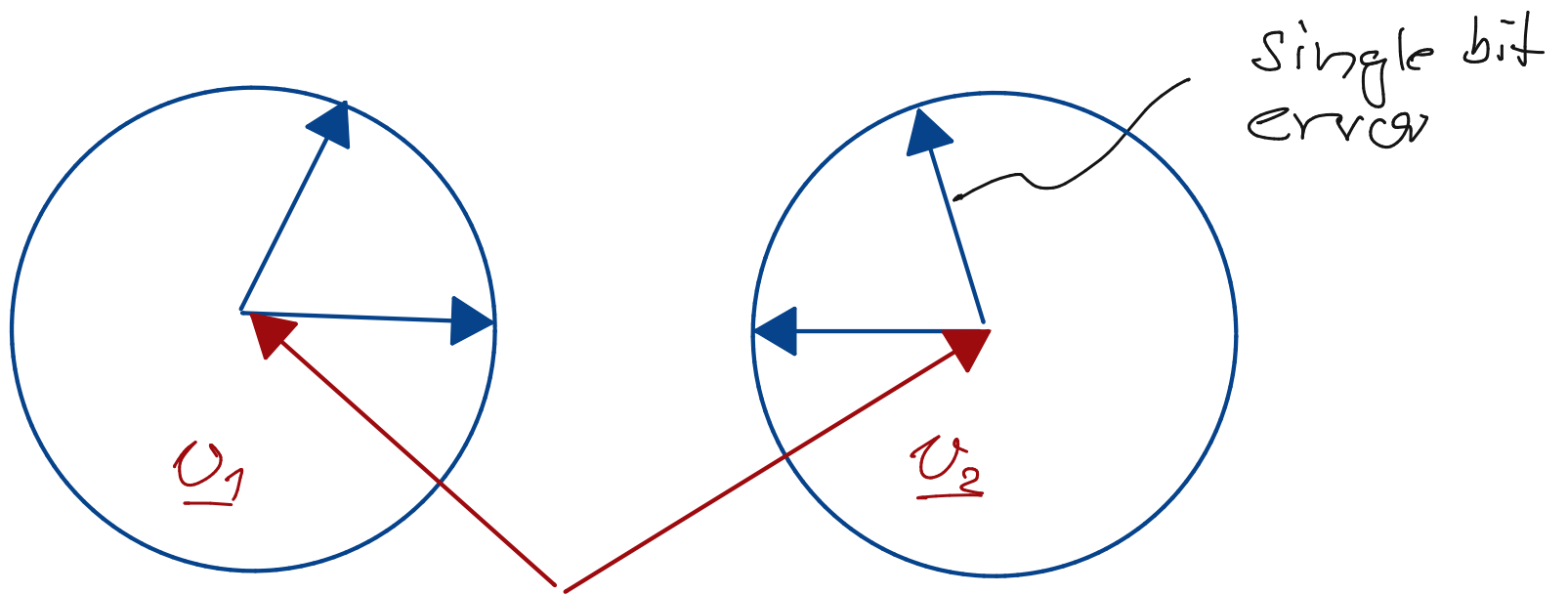
$$\underline{e}_1 = (1, 0, 0) \quad \underline{e}_2 = (0, 1, 0)$$

and $\underline{v}_1 \oplus \underline{e}_1 = \underline{v}_2 \oplus \underline{e}_2$ 😞

$d=2$



$$d=3$$



notation

$$[n, k, d]$$

code

number of
bits in code

number
of encodable
bits

Hamming
distance

example:

$$[7, 4, 3]$$

Hamming code

$$G = \begin{pmatrix} 1 & 1 & 1 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 1 & 1 & 0 & 0 \\ 0 & 1 & 0 & 1 & 0 & 1 & 0 \\ 1 & 1 & 0 & 1 & 0 & 0 & 1 \end{pmatrix}$$

$$H = \begin{pmatrix} 1 & 0 & 1 & 0 & 1 & 0 & 1 \\ 0 & 1 & 1 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 \end{pmatrix}$$

j

if e_j is bit flip error at j th bit : error syndrome

$$He_1 = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} \quad He_2 = \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} \quad He_3 = \begin{pmatrix} 1 \\ 1 \\ 0 \end{pmatrix} \quad He_4 = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$$

$$He_5 = \begin{pmatrix} 1 \\ 0 \\ 1 \end{pmatrix} \quad He_6 = \begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix} \quad He_7 = \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}$$

Gilbert-Varshamov bound

For large n there exist an $[n, k, 2t+1]$ codes that protect against t bits for some k such that

$$\frac{k}{n} \geq 1 - H\left(\frac{2t}{n}\right)$$

where $H(x) = -x \log x - (1-x) \log(1-x)$ is the binary Shannon entropy.

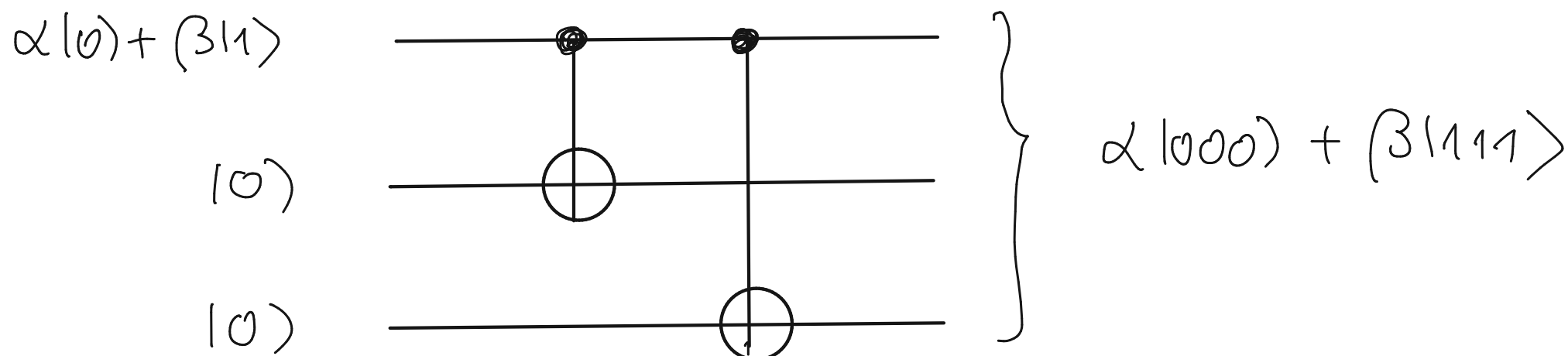
4.3 linear qubit codes

Surprisingly much of the ideas of classical error correction can be translated to qubits.

(A) The 3 qubit spin flip code

(i) encode qubit

$$(194) \quad \alpha|0\rangle + \beta|1\rangle \longrightarrow \alpha|000\rangle + \beta|111\rangle$$



(ii) interaction with environment
spin flip only; probability $p \ll 1/2$

$$\alpha|000\rangle + \beta|111\rangle$$

probability

$$\alpha|000\rangle + \beta|111\rangle$$

$$(1-p)^3 \approx 1-3p$$

$$\alpha|100\rangle + \beta|011\rangle$$

$$p(1-p)^2 \approx p$$

$$\alpha|010\rangle + \beta|101\rangle$$

$$p(1-p)^2 \approx p$$

$$\alpha|001\rangle + \beta|110\rangle$$

$$p(1-p)^2 \approx p$$

other

$$O(p^2) \text{ small}$$

(iii) error detection

$$k=1, n=3, d=3$$

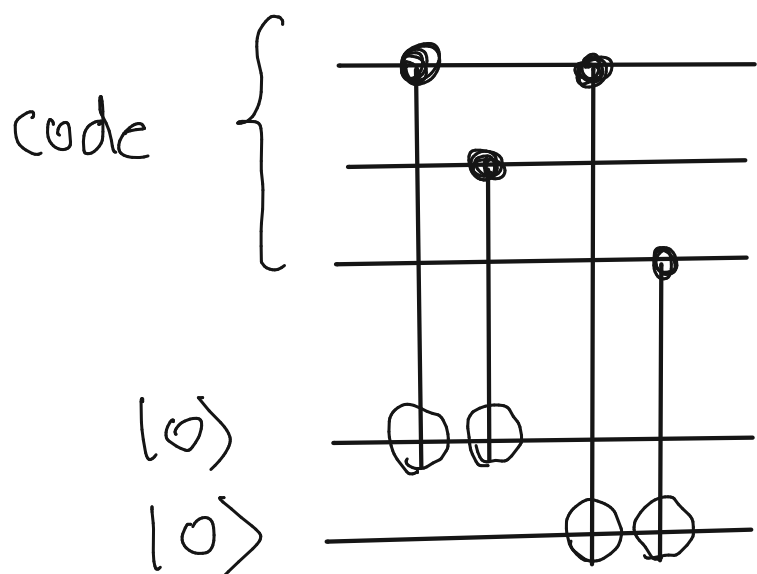
parity check matrix

$$(195) \quad \underline{\underline{H}} = \begin{pmatrix} 1 & 1 & 0 \\ 1 & 0 & 1 \end{pmatrix}$$

important property

$$\underline{\underline{H}} \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix} = \underline{\underline{H}} \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix} = 0$$

can be used to detect errors by entanglement with ancillas and detection of ancillas



$$\underline{\underline{H}}^T = \begin{pmatrix} 1 & 1 \\ 1 & 0 \\ 0 & 1 \end{pmatrix}$$

} measurement of error syndrome

$\hat{X}$ error on $j = 1, 2, 3$ qubit: $\hat{X}_j$

e.g. $\hat{X}_2 |x, 0, z\rangle = |x, 1, z\rangle = |x, 0 \oplus 1, z\rangle$

in general

$\hat{=}$ classical error

$$\hat{X}_2 |x, y, z\rangle = |x, y \oplus 1, z\rangle = |x, y, z\rangle + \underbrace{|0, 1, 0\rangle}$$

$$\underline{\underline{H}} \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} = \begin{pmatrix} 1 \\ 1 \end{pmatrix} \quad \underline{\underline{H}} \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \quad \underline{\underline{H}} \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

all $\hat{X}$ errors in the code (194) can be detected up to one spin flip

$$\begin{aligned} & (\alpha |1000\rangle + \beta |1111\rangle) \\ \Rightarrow & \begin{pmatrix} \alpha |100\rangle + \beta |011\rangle \\ \alpha |010\rangle + \beta |101\rangle \\ \alpha |001\rangle + \beta |110\rangle \end{pmatrix} \end{aligned}$$

$\begin{matrix} |00\rangle \\ |11\rangle \\ |10\rangle \\ |01\rangle \end{matrix}$

$\left. \begin{matrix} \leftarrow \text{no error} \\ \\ \\ \end{matrix} \right\} \text{error syndrome}$

(iv) error correction

application of $\hat{X}_j$

if $|10\rangle \Rightarrow \hat{I}_1 \hat{X}_2 \hat{I}_3$

(197) $|01\rangle \Rightarrow \hat{I}_1 \hat{I}_2 \hat{X}_3$

$|11\rangle \Rightarrow \hat{X}_1 \hat{I}_2 \hat{I}_3$

remark:

The extraction of the error syndrome through the implementation of H^T can also be interpreted in a different way. The measurement scheme actually projects on the four eigenstates of

$$(198) \quad \begin{array}{cc} Z_1 Z_2 & \text{and} \quad Z_2 Z_3 \\ \lambda = \pm 1 & \lambda = \pm 1 \end{array}$$

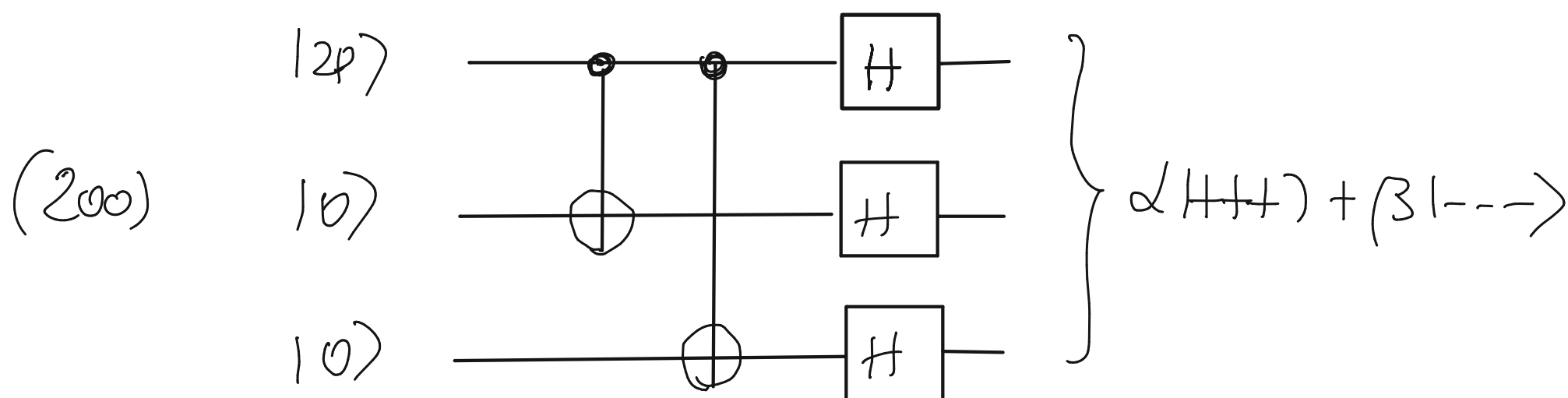
importantly the measurement of $Z_1 Z_2$ and $Z_2 Z_3$ leaves the states $|000\rangle$ and $|111\rangle$ invariant so their measurement does not kill coherences or entanglement

(B) The 3 qubit phase flip code

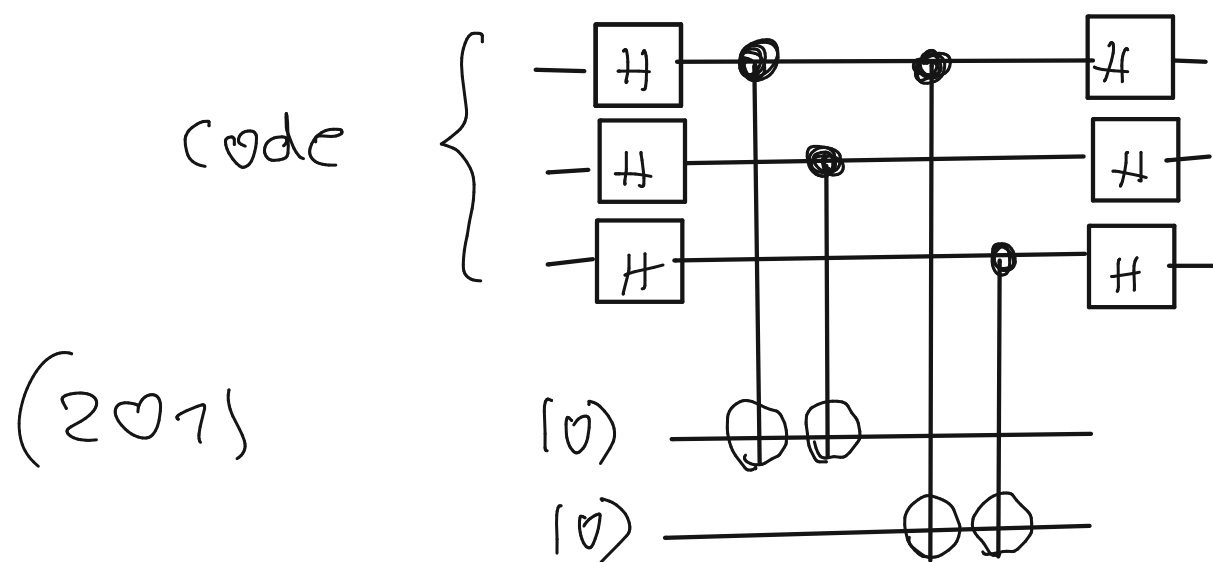
Much more relevant in quantum channels are phase flips rather than spin flips. Then we can use the 3 qubit phase flip code

$$(199) \quad \begin{array}{ccc} |2\rangle = \alpha|0\rangle + \beta|1\rangle & & |\pm\rangle = \frac{1}{\sqrt{2}}(|0\rangle \pm |1\rangle) \\ \downarrow & & \\ \alpha|+++ \rangle + \beta|--- \rangle & & \end{array}$$

implementation of encoding



error detection



corresponds to
measurements of

$$H^{\otimes 3} Z_1 Z_2 H^{\otimes 3} = X_1 X_2$$

$$H^{\otimes 3} Z_2 Z_3 H^{\otimes 3} = X_2 X_3$$

error correction

analogously to spin-flip code

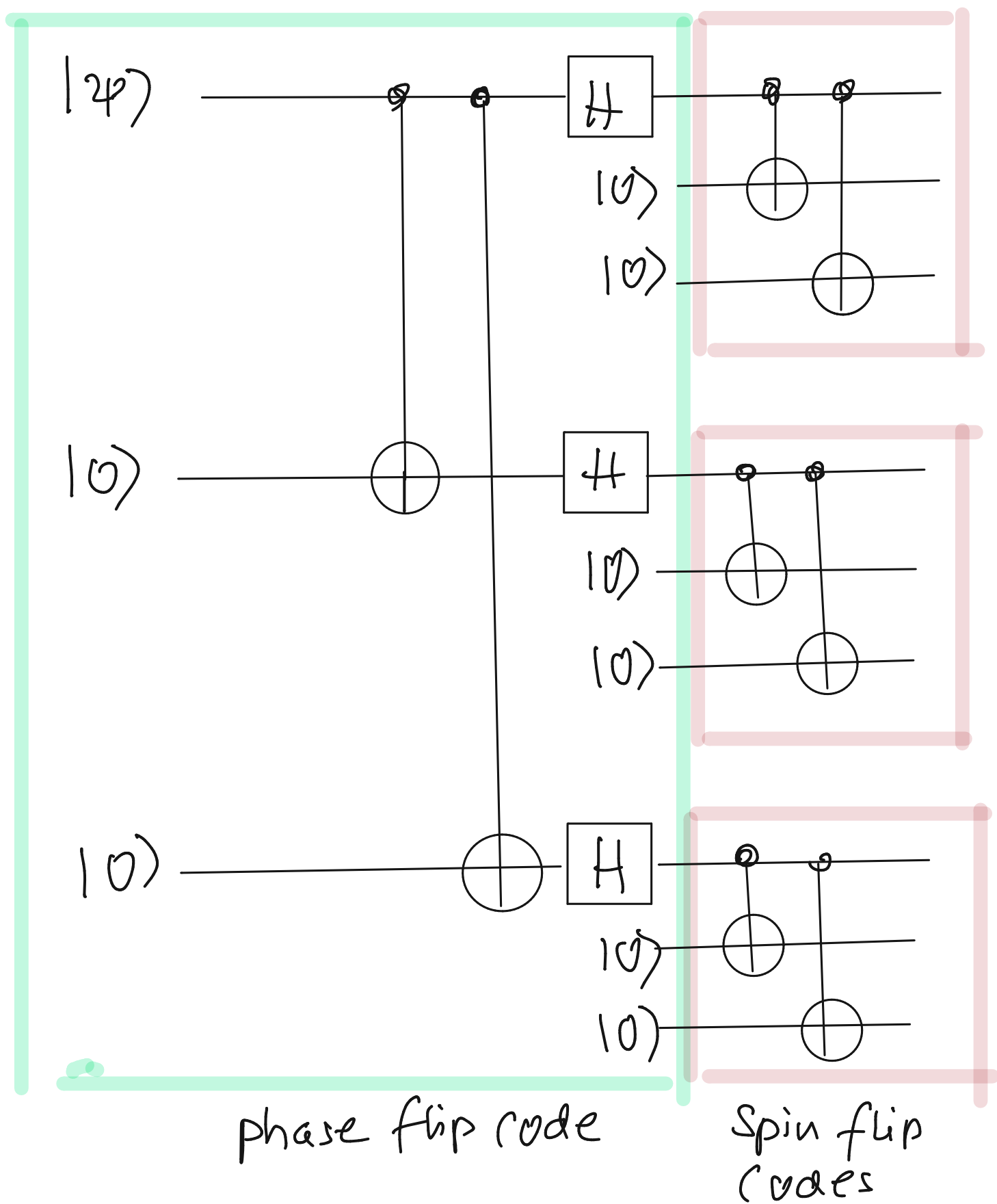
(C) Shor 9 qubit code

A linear quantum code that can correct arbitrary qubit errors on a single qubit is the Shor code. It is a combination of the spin-flip

and phase-flip code using a scheme known as concatenation of simple codes

$$\begin{aligned} (202) \quad |0\rangle &\rightarrow \frac{(1000\rangle + |111\rangle)(1000\rangle + |111\rangle)(1000\rangle + |111\rangle)}{2\sqrt{2}} \\ |1\rangle &\rightarrow \frac{(1000\rangle - |111\rangle)(1000\rangle - |111\rangle)(1000\rangle - |111\rangle)}{2\sqrt{2}} \end{aligned}$$

implementation:



for more details
see e.g.
Nielsen & Chuang