

bilinear forms

(530)	(i) scalar	$\bar{\psi} \psi$
	(ii) pseudo-scalar	$\bar{\psi} \gamma_5 \psi$
	(iii) vector	$\bar{\psi} \gamma^\mu \psi$
	(iv) pseudo vector	$\bar{\psi} \gamma_5 \gamma^\mu \psi$
	(v) tensor rank 2	$\bar{\psi} \gamma^{\mu\nu} \psi$

VII. 4 Solution of Dirac equation for some simple problems

(A) Plane waves generated by Lorentz transformation

For a Dirac particle at rest we have

$$(531) \quad \psi^r(\vec{r}, t) = \omega^r(0) e^{-i \epsilon_r m_0 c^2 t / \hbar}$$

$r = 1, 2, 3, 4$

$$\epsilon_{1,2} = +1$$

$$\epsilon_{3,4} = -1$$

$$\omega^1(0) = \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \end{pmatrix} \quad \omega^2(0) = \begin{pmatrix} 0 \\ 1 \\ 0 \\ 0 \end{pmatrix} \quad \omega^3(0) = \begin{pmatrix} 0 \\ 0 \\ 1 \\ 0 \end{pmatrix} \quad \omega^4(0) = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 1 \end{pmatrix}$$

Now we can make use of a Lorentz trafo to generate the general solution of a free Dirac particle at any momentum

L Trafo to frame with $\vec{v}$

$$S(-\vec{v}) = e^{-\frac{v}{2c} \vec{\alpha} \cdot \frac{\vec{v}}{v}}$$

$$(532) \quad = \sqrt{\frac{E + m_0 c^2}{2m_0 c^2}} \begin{bmatrix} 1 & 0 & \frac{p_z c}{E + m_0 c^2} & \frac{p_- c}{E + m_0 c^2} \\ 0 & 1 & \frac{p_+ c}{E + m_0 c^2} & \frac{-p_z c}{E + m_0 c^2} \\ \frac{p_z c}{E + m_0 c^2} & \frac{p_- c}{E + m_0 c^2} & 1 & 0 \\ \frac{p_+ c}{E + m_0 c^2} & \frac{-p_z c}{E + m_0 c^2} & 0 & 1 \end{bmatrix}$$

where

$$(533) \quad p_{\pm} \equiv p_x \pm i p_y$$

Here we made use of

$$e^{-\frac{v}{2c} \vec{\alpha} \cdot \frac{\vec{v}}{v}} = 1 - \frac{v}{2c} \frac{\vec{\alpha} \cdot \vec{v}}{v} + \frac{1}{2!} \frac{v^2}{4c^2} \frac{(\vec{\alpha} \cdot \vec{v})^2}{v^2} - + \dots$$

where

$$\begin{aligned}
 (\vec{\alpha} \cdot \vec{v})^2 &= \alpha^i \alpha^k v_i v_k = \underbrace{g^{00} g^{ii} g^{00} g^{kk}}_{-g^{ii}} v_i v_k \\
 &= -g^{ii} g^{kk} v_i v_k = -\frac{1}{2} (\underbrace{g^{ii} g^{kk} v_i v_k}_{\text{anti-commutator}} + \underbrace{g^{ii} g^{kk} v_k v_i}_{\text{anti-commutator}}) \\
 &= -\frac{1}{2} (2 g^{ii} v_i v_i) = v^2
 \end{aligned}$$

i.e. the series separates into even and odd powers

$$(534) \quad S = \mathbb{1} \cosh \frac{v}{2c} + \frac{\vec{\alpha} \cdot \vec{v}}{v} \sinh \frac{v}{2c}$$

using

$$\begin{aligned}
 \frac{\vec{\alpha} \cdot \vec{v}}{c} &= \alpha_x \frac{v_x}{c} + \alpha_y \frac{v_y}{c} + \alpha_z \frac{v_z}{c} \\
 &= \frac{p_x}{p} \begin{bmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{bmatrix} + \frac{i p_y}{p} \begin{bmatrix} 0 & 0 & -1 \\ 0 & -1 & 0 \\ 1 & 0 & 0 \end{bmatrix} + \frac{p_z}{p} \begin{bmatrix} 0 & 1 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{bmatrix} \\
 &= \frac{1}{p} \begin{bmatrix} 0 & 0 & p_z & p_- \\ 0 & 0 & p_+ & -p_z \\ p_z & p_- & 0 & 0 \\ p_+ & -p_z & 0 & 0 \end{bmatrix}
 \end{aligned}$$

(535)

$$\begin{aligned}
 \omega^r(\vec{p}) &= S\left(-\frac{\vec{p}}{E}\right) \omega^r(0) \\
 \underline{\psi}^r(\vec{r}, t) &= \omega^r(\vec{p}) e^{-i E_r \frac{p_\mu x^\mu}{\hbar}}
 \end{aligned}$$

general
solution
of free DE

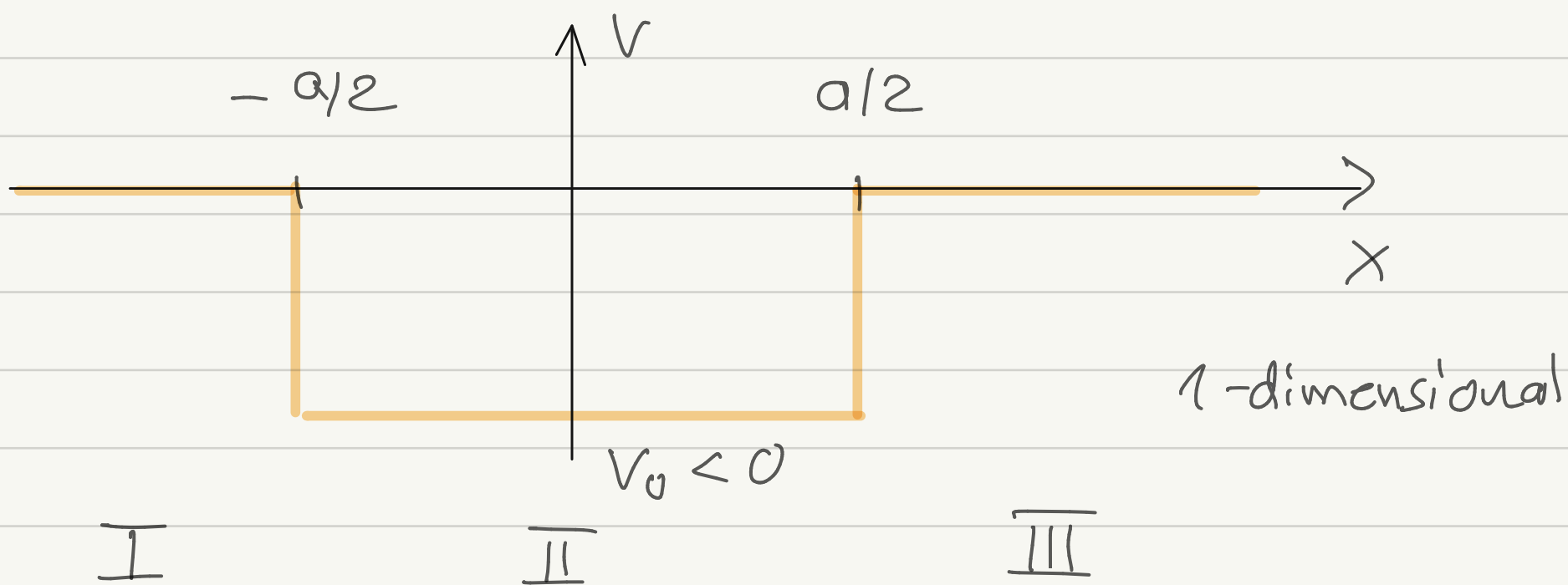
The coefficients $\omega^r(\vec{p})$ fulfil the "algebraic" DE

$$(536) \quad \begin{cases} (\not{p} - \epsilon_r m_0 c) \omega^r(\vec{p}) = 0 \\ \overline{\omega^r(\vec{p})} (\not{p} - \epsilon_r m_0 c) = 0 \end{cases}$$

(Here $\not{p}$ not $\vec{p}$!)

The general solution can be written as superposition of the ψ^r

(B) Dirac particle in a 1-dimensional finite potential well



stationary solution $\psi = \phi e^{-iEt/\hbar}$

$$(537) \quad \left[c \vec{\alpha} \cdot \underbrace{(\vec{p} - \frac{e}{c} \vec{A})}_{=V} + c A_0 + \beta m_0 c^2 \right] \phi = E \phi$$

I, III

$$(538) \quad (c \alpha_x p_x + \beta m_0 c^2) \varphi = E \varphi$$

assume spin polarization "↑" see eqs. (445)
solution:

$$(539) \quad \varphi_{I,III} = A_{I,III} e^{ik_1 x} \begin{pmatrix} 1 \\ 0 \\ \frac{\hbar k_1 c}{E + m_0 c^2} \\ 0 \end{pmatrix} + B_{I,III} e^{-ik_1 x} \begin{pmatrix} 1 \\ 0 \\ \frac{-\hbar k_1 c}{E + m_0 c^2} \\ 0 \end{pmatrix}$$

$$\hbar^2 k_1^2 = \frac{E^2}{c^2} - m_0^2 c^2$$

II

$$(c \alpha_x p_x + \beta m_0 c^2) \phi = (E - V_0) \phi$$

Solution

$$(540) \quad \phi_{II} = A_{II} e^{ik_2 x} \begin{pmatrix} 1 \\ 0 \\ \frac{\hbar k_2 c}{E - V_0 + m_0 c^2} \\ 0 \end{pmatrix} + B_{II} e^{-ik_2 x} \begin{pmatrix} 1 \\ 0 \\ \frac{-\hbar k_2 c}{E - V_0 + m_0 c^2} \\ 0 \end{pmatrix}$$

$$\hbar^2 k_2^2 = \frac{(E - V_0)^2}{c^2} - m_0^2 c^2$$

boundary conditions

$\partial_\mu \psi = 0$ wavefunction must be smooth

$$(541) \quad \left. \begin{aligned} \phi_I(-\frac{a}{2}) &= \phi_{II}(-\frac{a}{2}) \\ \phi_{II}(\frac{a}{2}) &= \phi_{III}(\frac{a}{2}) \end{aligned} \right\} \begin{array}{l} 2 \text{ complex} \\ \text{equations} \\ \Rightarrow 4 \text{ conditions} \end{array}$$

$$(542) \quad \int d^3r \psi^\dagger(r) \psi(r) = 1 \quad (1 \text{ condition})$$

assumption of bound states

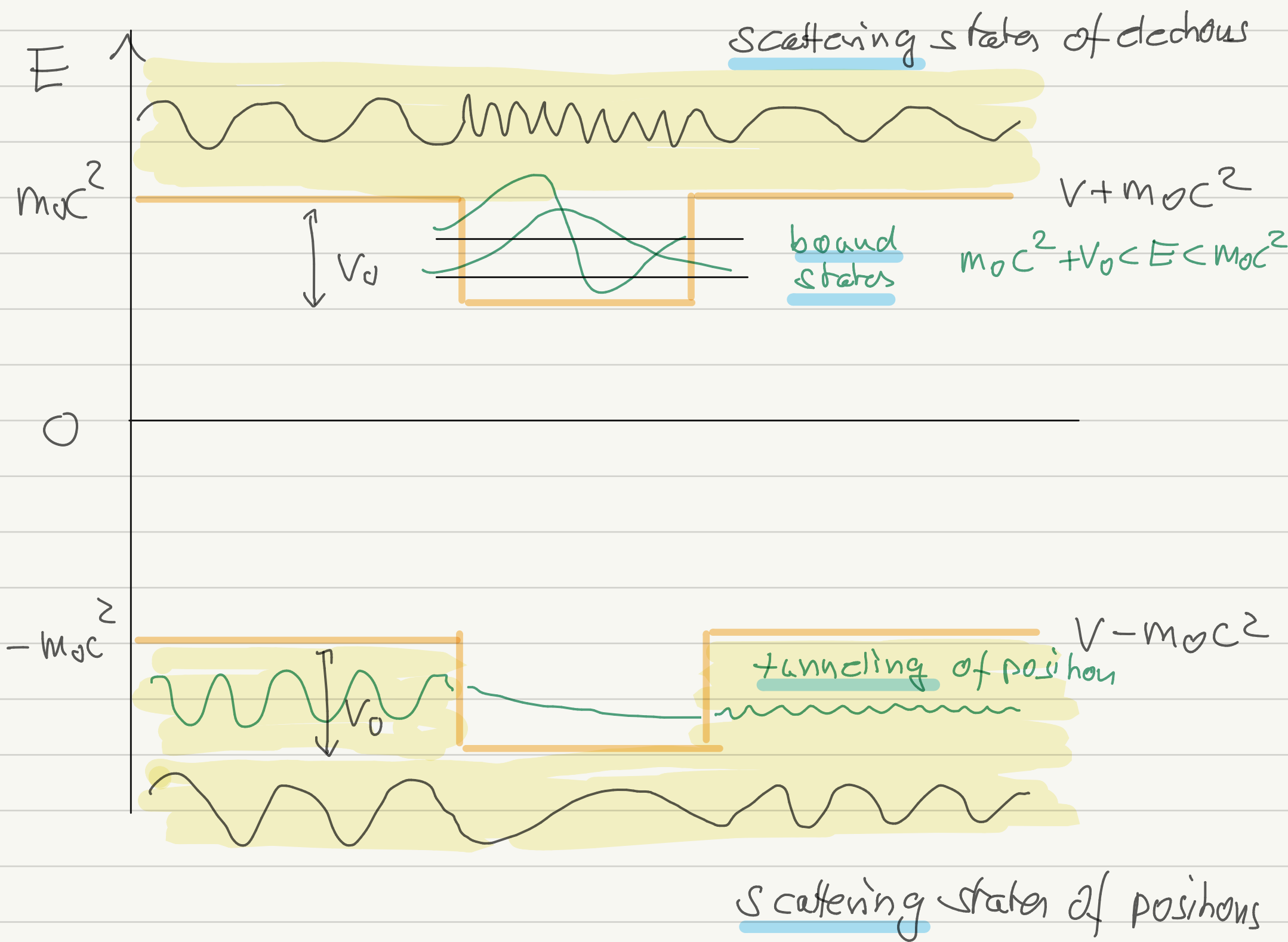
$$(543) \quad \phi_I(x \rightarrow -\infty) \rightarrow 0 \quad \phi_{III}(x \rightarrow +\infty) \rightarrow 0$$

2 conditions

$\rightarrow$ only discrete energies E

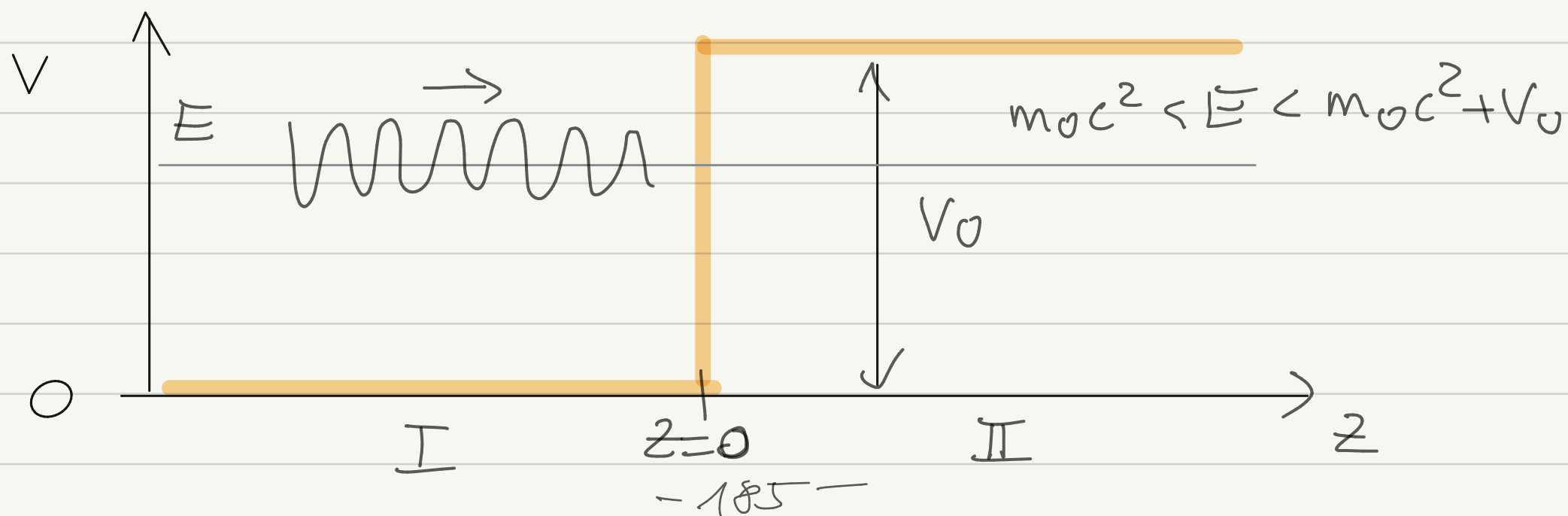
We will not give the explicit solution here which is however straightforward but requires solution of transcendental algebraic equation.

qualitative discussion of solutions $|V_0| < 2m_0 c^2$



(C) Klein paradox

consider a Dirac particle impinging on a potential step



stationary Dirac equation

$$\begin{aligned} \text{I} \quad \psi_{\text{I}} &= \psi^{\text{i}} + \psi^{\text{r}} \\ (544) \quad &= \phi_{\text{in}} e^{\frac{i}{\hbar}(pz - Et)} + \phi_{\text{r}} e^{\frac{i}{\hbar}(-pz - Et)} \end{aligned}$$

in stationary DE

$$\left(\frac{E}{c} - \beta m_0 c \right) \psi_{\text{I}} + i\hbar \alpha_z \frac{\partial \psi_{\text{I}}}{\partial z} = 0$$

$$\Rightarrow (545) \quad \left(\frac{E}{c} - \alpha_z p - \beta m_0 c \right) \phi_{\text{in}} = 0$$

$$(546) \quad \left(\frac{E}{c} + \alpha_z p - \beta m_0 c \right) \phi_{\text{r}} = 0$$

where

$$(547) \quad \frac{E^2}{c^2} = p^2 + m_0^2 c^2$$

$$\text{II} \quad \psi_{\text{II}} = \psi_t = \phi_t e^{\frac{i}{\hbar}(\tilde{p}z - Et)}$$

$$\left(\frac{E - V_0}{c} - \beta m_0 c \right) \psi_{\text{II}} + i\hbar \alpha_z \frac{\partial \psi_{\text{II}}}{\partial z} = 0$$

$$(548) \quad \left(\frac{E - V_0}{c} - \alpha_z \tilde{p} - \beta m_0 c \right) \phi_t = 0$$

where

$$(549) \quad \frac{(E - V_0)^2}{c^2} = \tilde{p}^2 + m_0^2 c^2$$

In order to have a real solution for $\tilde{p}$

$$(550) \quad V_0 \leq E - m_0 c^2 \quad \text{or} \quad V_0 \geq E + m_0 c^2$$

boundary condition at $z=0$

$$(551) \quad \phi_{in} + \phi_r = \phi_t$$

From (545) and (546)

$$(552) \quad \left(\frac{E}{c} - \beta m_0 c \right) (\phi_{in} + \phi_r) = \alpha_z p (\phi_{in} - \phi_r)$$

From (548) and with boundary condition (551):

$$\begin{aligned} \left(\frac{E}{c} - \beta m_0 c \right) \phi_t &= \left(\frac{V_0}{c} + \alpha_z \tilde{p} \right) \phi_t \\ &= \left(\frac{V_0}{c} + \alpha_z \tilde{p} \right) (\phi_{in} + \phi_r) \end{aligned}$$

$$\Rightarrow \quad \left(\frac{V_0}{c} + \alpha_z \tilde{p} \right) (\phi_{in} + \phi_r) = \alpha_z p (\phi_{in} - \phi_r)$$

From this we can extract the relation between ϕ_r and ϕ_{in}

$$\left[\frac{V_0}{c} + \alpha_z (p + \tilde{p}) \right] \phi_r = - \left[\frac{V_0}{c} - \alpha_z (p - \tilde{p}) \right] \phi_{in}$$

multiply with $\left[\frac{V_0}{c} - \alpha_z (p + \tilde{p}) \right]$ from the left yields

$$\phi_r = \frac{-\left[\frac{V_0^2}{c^2} - 2\frac{V_0}{c} \alpha_z p + p^2 - \tilde{p}^2\right]}{\frac{V_0^2}{c^2} - (p + \tilde{p})^2} \phi_{in}$$

With (547) and (549)

$$(553) \quad p^2 - \tilde{p}^2 = -\left[\frac{(E - V_0)^2}{c^2} - \frac{E^2}{c^2}\right] = -\frac{V_0^2}{c^2} + \frac{2EV_0}{c}$$

$\Rightarrow$

$$(554) \quad \phi_r = \frac{\frac{2V_0}{c} \left[-\frac{E}{c} + \alpha_z p\right]}{\underbrace{\frac{V_0^2}{c^2} - (p + \tilde{p})^2}_{\text{reflection amplitude } r}} \phi_{in} = r \phi_{in}$$

► To calculate the reflected intensity we need $\phi_r^\dagger \phi_r$

$$(555) \quad \phi_r^\dagger \phi_r = \underbrace{\left[\frac{\frac{2V_0}{c}}{\frac{V_0^2}{c^2} - (p + \tilde{p})^2}\right]^2}_{(c \text{ number})} \underbrace{\phi_{in}^\dagger \left(-\frac{E}{c} + \alpha_z p\right)^2 \phi_{in}}_{(matrix)}$$

$$\text{now } \left(-\frac{E}{c} + \alpha_z p\right)^2 = \frac{E^2}{c^2} + p^2 - \frac{2E}{c} \alpha_z p$$

so we need

$$\phi_{in}^\dagger \alpha_z \phi_{in}$$

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From the stationary DE for ψ , eq. (545)

$$\phi_{in}^+ \alpha_z \cdot \left(\frac{E}{c} - \alpha_z p - \beta m_0 c \right) \phi_{in} = 0$$

$$\Rightarrow \frac{E}{c} \phi_{in}^+ \alpha_z \phi_{in} - \phi_{in}^+ \phi_{in} p - \phi_{in}^+ \alpha_z \beta m_0 c \phi_{in} = 0$$

$$\bullet \alpha_z \phi_{in} \left| \phi_{in}^+ \left(\frac{E}{c} - \alpha_z p - \beta m_0 c \right) = 0 \right.$$

$\Rightarrow$

$$\frac{E}{c} \phi_{in}^+ \alpha_z \phi_{in} - \phi_{in}^+ \phi_{in} p - \phi_{in}^+ \beta \alpha_z m_0 c \phi_{in} = 0$$

remember that $\{\beta, \alpha_z\} = 0$, so

$$(556) \quad \phi_{in}^+ \alpha_z \phi_{in} = \frac{pc}{E} \phi_{in}^+ \phi_{in}$$

This gives

$$\phi_r^+ \phi_r = \left[\frac{2V_0/c}{V_0^2/c^2 - (p + \tilde{p})^2} \right]^2 \underbrace{\left(\frac{E^2}{c^2} + p^2 - 2p^2 \right)}_{\frac{E^2}{c^2} - p^2 = m_0^2 c^2} \phi_{in}^+ \phi_{in}$$

$$(557) \quad \phi_r^+ \phi_r = \left[\frac{2V_0 m_0}{V_0^2/c^2 - (p + \tilde{p})^2} \right] \phi_{in}^+ \phi_{in}$$

reflection coefficient

$$(558) \quad R = \left[\frac{2V_0 m_0}{V_0^2/c^2 - (p + \tilde{p})^2} \right]^2$$

• so if $V_0 = 0$

$$\underline{\underline{R = 0}}$$

no reflection ✓

• if $V_0 = E - m_0 c^2$

$$\frac{(E - V_0)^2}{c^2} - m_0^2 c^2 = \tilde{p}^2$$

$$\Rightarrow \tilde{p} = 0$$

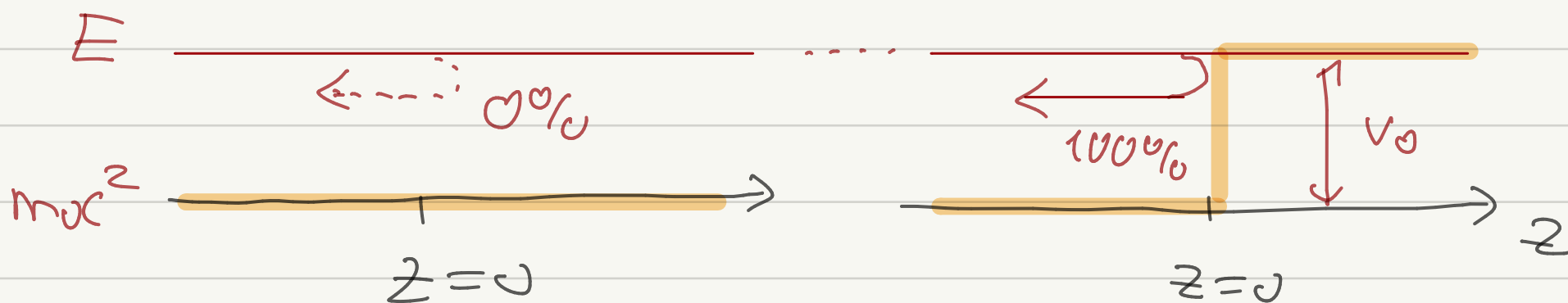
$$\frac{E^2}{c^2} - m_0^2 c^2 = p^2$$

$$p^2 = \left(\frac{E}{c} - m_0 c \right) \left(\frac{E}{c} + m_0 c \right) = \frac{V_0}{c} \left(\frac{E}{c} + m_0 c \right)$$

$$\frac{V_0^2}{c^2} = \frac{V_0}{c} \left[\frac{E}{c} - m_0 c \right]$$

$$\frac{V_0^2}{c^2} - p^2 = \frac{V_0}{c} \left(\frac{E}{c} - m_0 c - \frac{E}{c} - m_0 c \right) = -2 V_0 m_0$$

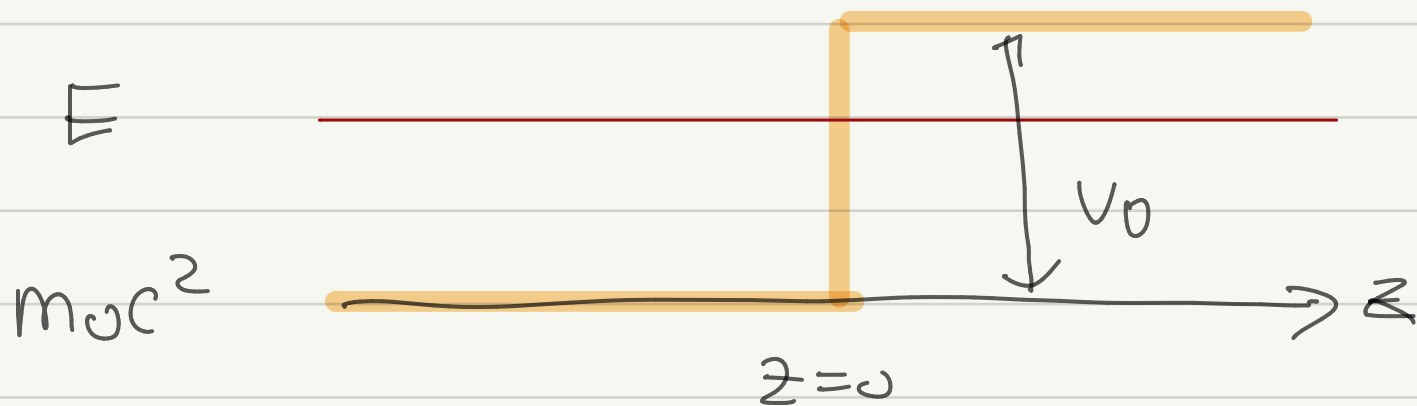
$$\underline{\underline{R = 1}}$$



$$V_0 = 0$$

$$V_0 = E - m_0 c^2$$

What happens if $V_0 > E - m_0 c^2$



$$(559) \quad \tilde{p}^2 = p^2 - \frac{V_0(2E - V_0)}{c^2}$$

$\Rightarrow$

$\tilde{p}$ is imaginary i.e. $\tilde{p} = i\hbar\mu$ for

$$(i) \quad \underline{E + m_0 c^2 > V_0 > E - m_0 c^2}$$

there

(560)

$$\psi_{II} = \phi_{\pm} e^{-\mu z - i \frac{E}{\hbar} t}$$

exponentially decaying amplitude
as expected from non-relativistic QM

$\Rightarrow$

$$\underline{\underline{R=1}}$$

however

$\tilde{p}$ becomes real again for

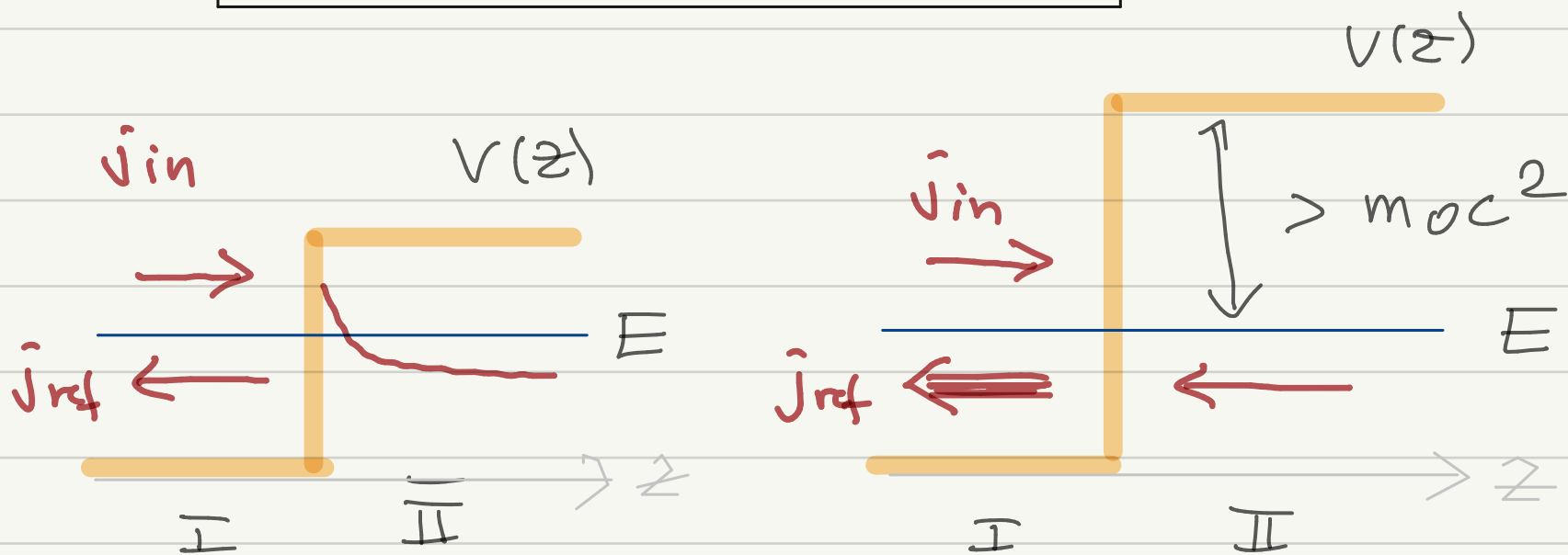
$$(ii) \quad \underline{V_0 > E + m_0 c^2}$$

(561) then

$$\underline{\underline{R < 1}}$$

transmission
in classically
forbidden
regime ???

Klein paradoxon



In the problem course you will calculate the currents in the two cases and will show that for

$$V_0 > E + m_0 c^2$$

$$(562) \quad |\hat{j}_{\text{ref}}| > |\hat{j}_{\text{in}}| \quad \text{and} \quad \hat{j}_{\text{II}} < 0$$

remark:

If the potential step gets smeared out over a distance d much larger than the Compton length there is no current in region II

$$d \gg \lambda_c = \frac{\hbar}{m_0 c}$$

